

# Chain Transitivity and Variations of the Shadowing Property

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# Outline

- ① Preliminaries
- ② Shadowing and Chain Transitivity

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## ① Preliminaries

Definitions

Variations on Shadowing

## ② Shadowing and Chain Transitivity

# Basic Terminology

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- For  $\delta > 0$ , a  $\delta$ -pseudo-orbit is a sequence  $\langle z_i \rangle_{i \in \mathbb{N}}$  in  $X$  satisfying  $d(z_{i+1}, f(z_i)) < \delta$  for  $i \in \mathbb{N}$ .

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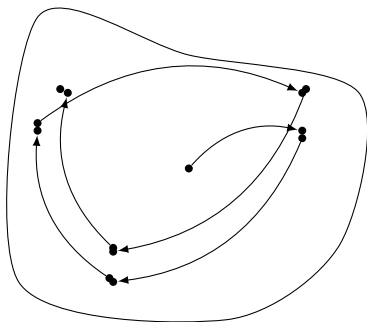
- A map  $f$  has shadowing provided that for all  $\epsilon > 0$  there exists a  $\delta > 0$  such that for every  $\delta$ -pseudo-orbit  $\langle z_i \rangle$  there exists  $x \in X$  such that  $d(z_i, f^i(x)) < \epsilon$  for all  $i \in \mathbb{N}$ .

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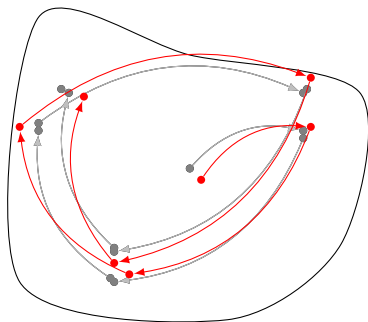
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- The point  $x$  is said to  $\epsilon$ -shadow the pseudo-orbit  $\langle z_i \rangle$ .



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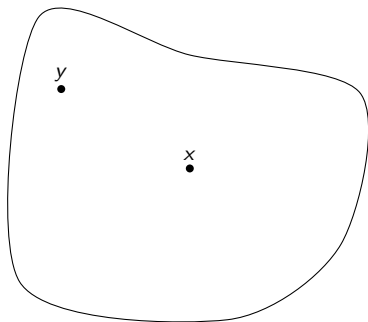
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- A  $\delta$ -chain from  $x$  to  $y$  is a sequence  $x = z_0, z_1, \dots, z_n = y$  in  $X$  which satisfies  $d(z_{i+1}, f(z_i)) < \delta$  for  $i < n$ .

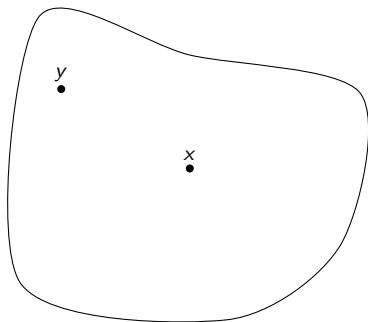
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- A map  $f$  is *chain transitive* provided that for all  $\delta > 0$  and all  $x, y \in X$ , there exists a  $\delta$ -chain from  $x$  to  $y$ .

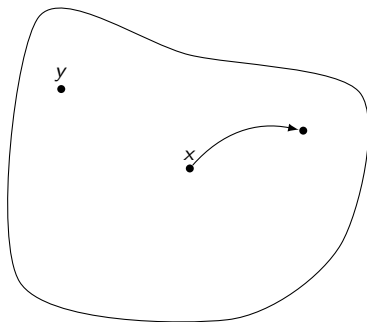
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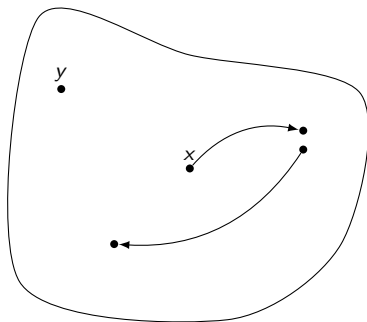
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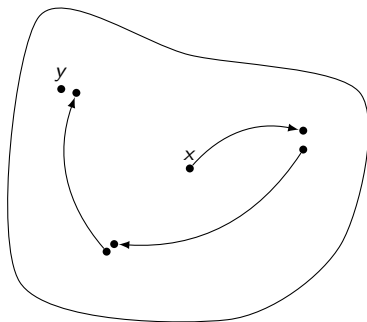


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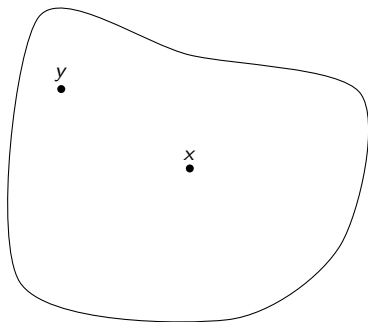




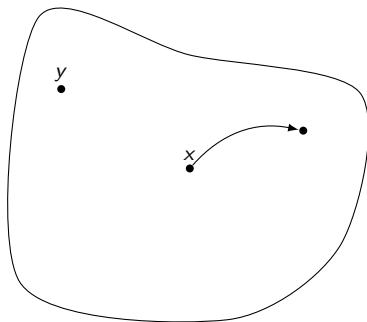
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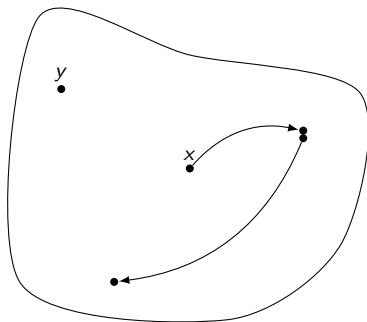
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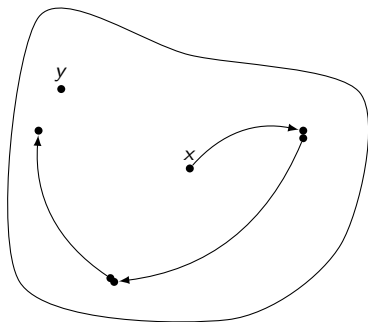
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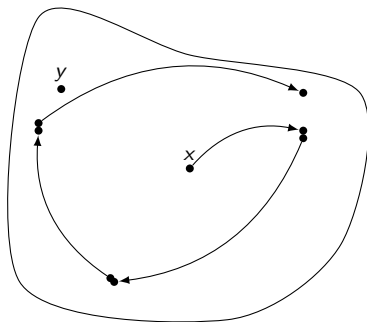
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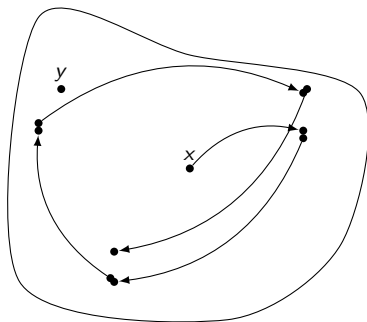
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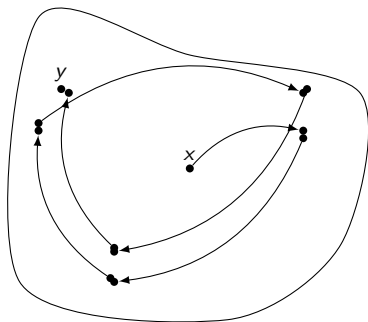
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# Terminology

- A sequence  $\langle z_i \rangle$  is a  $\delta$ -pseudo-orbit on  $A$  provided that  $A \subseteq \{i \in \mathbb{N} : d(z_{i+1}, f(z_i)) < \delta\}$ .

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- A point  $x \in X$   $\epsilon$ -shadows  $\langle z_i \rangle$  on  $B$  provided that  $B \subseteq \{i \in \mathbb{N} : d(z_i, f^i(x)) < \epsilon\}$ .

## $(\mathcal{F}, \mathcal{G})$ -shadowing

- A *family*  $\mathcal{F}$  is a collection of subsets of  $\mathbb{N}$  for which  $A \in \mathcal{F}$  and  $A \subseteq B$  implies  $B \in \mathcal{F}$ .

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### Theorem [BMR]

Suppose that  $\mathcal{F} \supseteq \mathcal{F}'$  and that  $\mathcal{G} \subseteq \mathcal{G}'$ . Then every space with  $(\mathcal{F}, \mathcal{G})$ -shadowing has  $(\mathcal{F}', \mathcal{G}')$ -shadowing.

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- Let  $\mathcal{T}$  denote the family of thick subsets of  $\mathbb{N}$ , i.e. those sets  $A \subseteq \mathbb{N}$  containing arbitrarily long intervals.
- Let  $\mathcal{D}$  denote the family of subsets of  $\mathbb{N}$  with lower density equal to 1.



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- Several other shadowing subtypes fit this framework (though not all.)

# Outline

① Preliminaries

② Shadowing and Chain Transitivity

Lemmas

Theorem

## Chain transitivity

### Lemma [Richeson, Wiseman 2008]

Let  $f : X \rightarrow X$  be chain transitive and let  $\delta > 0$ . Then there exists  $k_\delta \in \mathbb{N}$  such that for any  $x \in X$ ,  $k_\delta$  is the greatest common denominator of the lengths of  $\delta$ -chains from  $x$  to  $x$ .

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- Define the relation  $\sim_\delta$  on  $X$  by  $x \sim_\delta y$  provided that there is a  $\delta$ -chain from  $x$  to  $y$  of length a multiple of  $k_\delta$ .

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- There are precisely  $k_\delta$  many equivalence classes of  $\sim_\delta$  which are clopen and are permuted cyclicly by  $f$ .



# Chain Lengths

## Lemma [BMR]

Let  $f : X \rightarrow X$  be chain transitive. For each  $\delta > 0$  there exists  $M \in \mathbb{N}$  such that for any  $m \geq M$ , and any  $x, y \in X$  with  $x \sim_\delta y$ , there is a  $\delta$ -chain from  $x$  to  $y$  of length exactly  $mk_\delta$ .

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- This is a straightforward application of the fact that  $\delta$ -chains can be concatenated and Schur's Theorem.

# Main Theorem

## Theorem [BMR]

For a chain transitive dynamical system, the following are equivalent:

- 1 shadowing, i.e.  $(\{\mathbb{N}\}, \{\mathbb{N}\})$ -shadowing,
- 2  $(\mathcal{T}, \mathcal{T})$ -shadowing,
- 3 thick shadowing, i.e.  $(\mathcal{D}, \mathcal{T})$ -shadowing, and
- 4  $(\{\mathbb{N}\}, \mathcal{T})$ -shadowing.

## Sketch of Proof

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- So, we need only establish that (1) implies (2) and (4) implies (1).

# (4) implies (1)

- It is sufficient to show that for any  $\epsilon > 0$  we can find  $\delta > 0$  such that any  $\delta$ -chain in  $X$  can be  $\epsilon$ -shadowed.

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- Fix a  $\delta$ -chain  $z_0, z_1, \dots, z_n$ . Since  $f$  is chain transitive we can find a  $\delta$ -chain  $z_n, y_1, y_2, \dots, y_m, z_0$  from  $z_n$  to  $z_0$ .



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- Then  $z_0, z_1, \dots, z_n, y_1, \dots, y_m, z_0, \dots, z_n, y_1, \dots, y_m, \dots$  is a  $\delta$ -pseudo-orbit.

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$z_0, z_1, \dots, z_n, y_1, \dots, y_m, z_0, \dots, z_n, y_1, \dots, y_m, \dots$  on a set  $A \in \mathcal{T}$ .

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- Then, the appropriate iterate of  $x$  shadows the  $\delta$ -chain  $z_0, z_1, \dots, z_n$ .

# (1) implies (2)

- We must show that for any  $\epsilon > 0$  we can find  $\delta > 0$  such that for any  $\delta$ -pseudo-orbit  $\langle z_i \rangle$  on a set  $A \in \mathcal{T}$ , there is an  $x \in X$  that  $\epsilon$ -shadows it on a set  $B \in \mathcal{T}$ .

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- Our strategy is to construct a proper  $\delta$ -pseudo-orbit  $\langle q_i \rangle$  which agrees with  $\langle z_i \rangle$  on a thick set and then find a point  $x$  that shadows this modified pseudo-orbit.

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- Our strategy is to construct a proper  $\delta$ -pseudo-orbit  $\langle q_i \rangle$  which agrees with  $\langle z_i \rangle$  on a thick set and then find a point  $x$  that shadows this modified pseudo-orbit.
- The point  $x$  will then shadow the original pseudo-orbit on a thick set as desired.



# (1) implies (2)

- Let  $\epsilon > 0$  and fix  $\delta > 0$  as given by shadowing. Let  $\langle z_i \rangle$  be a  $\delta$ -pseudo-orbit on  $T$  where  $T \in \mathcal{T}$ .

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- Let  $K = k_\delta$  and let  $X_0, X_1, \dots, X_K$  be the equivalence classes of  $\sim_\delta$  named so that  $f(X_i) = X_{i+1} \bmod K$ .

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- Define for each  $i \in \mathbb{N}$  the number  $m(i) \in \mathbb{Z}_K$  to be the element of  $\mathbb{Z}_K$  such that  $z_i \in X_{i+m(i)}$ .

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- Let  $A = \{i \in \mathbb{N} : m(i) = m(i+1)\}$ , and notice that this contains  $T$  and is hence thick.

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- By previous lemma, fix  $M \in \mathbb{N}$  such that for all  $m \geq M$ , and any  $x, y \in X_0$  there is a  $\delta$ -chain of length  $mK$  from  $x$  to  $y$ .

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- We can then leverage the thickness to replace segments of  $\langle z_i \rangle$  for which  $m(i) \neq 0$  (and some parts where  $m(i) = 0$  as well) with  $\delta$ -chains of lengths  $mK$ .
- In particular, do this in such a way that we retain subintervals of  $A_0$  of arbitrary length.
- The modified sequence  $\langle q_i \rangle$  is now a proper  $\delta$ -pseudo-orbit and agrees with  $\langle z_i \rangle$  on a thick set.

Thank you

Thank you!