

Weak product recurrence and related properties

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- 1 X - compact,
- 2 $f : X \rightarrow X$ - continuous
- 3 $x \in X$ is **recurrent** if $x \in \omega(x, f)$.
 - or in other words, $N(x, U, f) \neq \emptyset$ for any neighborhoods U of x ,
 - where $N(x, U, f) = \{i > 0 : f^i(x) \in U\}$.
- 4 $x \in X$ is **uniformly recurrent** (or **minimal**) if it is recurrent and $\omega(x, f)$ is a minimal set.
 - or equivalently $N(x, U, f)$ is syndetic (has bounded gaps between its elements, i.e. any sufficiently long block of consecutive integers intersects it).

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- 1 $x \in X$ is **(uniformly) recurrent** if $x \in \omega(x, f)$ (and it is a minimal set).
- 2 $x \in X$ is **product recurrent** if
 - 1 given any recurrent point y in any dynamical system g
 - 2 and any neighborhoods U of x and V of y ,
 - 3 $N(x, U, f) \cap N(y, V, g) \neq \emptyset$.
 where $N(x, U, f) = \{i > 0 : f^i(x) \in U\}$.
- 3 $x, z \in X$ are **proximal** if $\liminf_{n \rightarrow \infty} d(f^n(x), f^n(z)) = 0$
- 4 x is **distal** if it is not proximal to any point in its orbit closure other than itself.

Theorem (Furstenberg)

A point x is product recurrent if and only if it is (uniformly recurrent) distal point.

Product recurrence

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A point x is **product recurrent** if and only if it is (uniformly recurrent) **distal** point.



Weak product recurrence

- 1 $x \in X$ is **weakly product recurrent** if
 - 1 given any **uniformly** recurrent (=almost periodic) point y in any dynamical system g
 - 2 and any neighborhoods U of x and V of y ,
 - 3 $N(x, U, f) \cap N(y, V, g) \neq \emptyset$.

Question

„Another question (even for \mathbb{Z} or \mathbb{N} actions): If (x, y) is recurrent for all almost periodic points y , is x necessarily a distal point?”

[J. Auslander and H. Furstenberg, *Product recurrence and distal points*, Trans. Amer. Math. Soc., **343** (1994) 221–232.]

- 2 It was first by Haddad and Ott that product recurrence and weak product recurrence are not equivalent (Answer **NO** to the above).

[*Recurrence in pairs*, Ergod. Th. & Dynam. Sys. **28** (2008) 1135–1143]



Haddad and Ott example

Theorem

A point $x \in X$ is **weakly product recurrent** if it has the following property:

- for every neighborhood V of x there exists n such that if $S \subset \mathbb{N}$ is any **finite set** satisfying $|s - t| > n$ for all distinct $s, t \in S$, then there exists $l \in \mathbb{N}$ such that $l + s \in N(x, V, f)$ for every $s \in S$.

- 1 the above conditions are satisfied by many points/systems (e.g. point with **dense orbit in full shift** on 2 symbols)
- 2 dynamical system satisfying above must be at least mixing
- 3 dynamical system satisfying above cannot be minimal



Disjointness

- 1 We a closed set $\emptyset \neq J \subset X \times Y$ is a **joining** of (X, f) and (Y, g) if it is **invariant** (for the product map $f \times g$) and its **projections** on first and second coordinate are **X and Y** respectively.
- 2 If $X \times Y$ is the only joining of f and g then we say that they are disjoint.

Question

How to characterize systems disjoint from any distal or minimal system?
[H. Furstenberg, *Disjointness in ergodic theory, minimal sets, and a problem in Diophantine approximation*, Math. Systems Theory, **1** (1967), 1–49]

Theorem (Petersen, 1970)

A system is disjoint with every distal system iff it is weakly mixing and minimal.

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A system is disjoint with every distal system iff it is weakly mixing and minimal.

Disjointness and product recurrence

- 1 Only partial answers are known when a system is disjoint with all minimal systems.

Theorem (Furstenberg, 1967)

If f is **weakly mixing** with **dense periodic points** then it is disjoint from every minimal systems.

Theorem (Huang & Ye; Oprocha)

If (X, f) is **disjoint** from every minimal system then every transitive point in (X, f) is weakly product recurrent.

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Disjointness and product recurrence (cont.)

Remark

The class of weak product recurrent points is much wider than can be detected by disjointness theorems, e.g.

- If $([0, 1], f)$ is mixing and (S^1, R) is irrational rotation then for any $z \in S^1$ there is a residual set in $[0, 1] \times \{z\} \subset (S^1, R)$ in dynamical system $([0, 1] \times S^1, f \times R)$ consisting of weakly product recurrent points.
- But $([0, 1] \times S^1, f \times R)$ is not disjoint with (S^1, R) .



Product recurrence in terms of Furstenberg families (Dong, Shao, Ye)

- 1 \mathcal{F} - upward hereditary set of subsets of \mathbb{N} = Furstenberg family
- 2 $x \in X$ is \mathcal{F} -recurrent if $N(x, U, f) \in \mathcal{F}$ for any open neighborhood U of x ,
- 3 recurrence = \mathcal{F}_{inf} -recurrence (\mathcal{F}_{inf} = infinite subsets of \mathbb{N})
- 4 $x \in X$ is \mathcal{F} -product recurrent (\mathcal{F} -PR for short) if for any dynamical system (Y, g) and any \mathcal{F} -recurrent point $y \in Y$ the pair (x, y) is recurrent for $(X \times Y, f \times g)$.
- 5 $\mathcal{F}\text{-PR}_0 = \mathcal{F}\text{-PR}$ but only with (Y, g) of topological entropy zero.
- 6 $\mathcal{F}_{inf}\text{-PR} = \text{product recurrence}$ (as introduced by Furstenberg)
- 7 $\mathcal{F}_s\text{-PR} = \text{weak product recurrence}$ (where \mathcal{F}_s is the family of syndetic sets)



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Further results on product recurrence

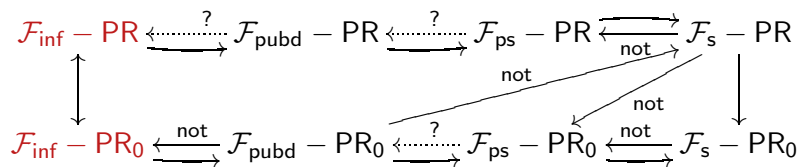


Figure: Product recurrence and product recurrence with zero entropy systems (Dong, Shao & Ye)

- \mathcal{F}_{ps} = piecewise syndetic, i.e. intersections of syndetic and thick set
- \mathcal{F}_{pubd} = sets with positive upper Banach density

$$0 < D(A) = \limsup_{n \rightarrow \infty} \frac{1}{n} \sup_{i \geq 0} \#(A \cap [i, i+n))$$



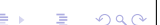
Results on PR obtained by results on disjointness

- 1 If (X, f) is a minimal flow (i.e. homeomorphism) such that any of its invariant measures is a K -measure, then it is disjoint from any transitive zero entropy E-system.
 - If (X, f) is a strictly ergodic flow with its unique invariant measure being a K -measure, then **every point** $x \in X$ is $\mathcal{F}_{pubd}\text{-PR}_0$.
 - But it has positive topological entropy, so also asymptotic pairs...
 - So there are points in X which are **not recurrent** in pair with **minimal points**.
 - Hence we have an example $\mathcal{F}_{pubd}\text{-PR}_0 \not\rightleftharpoons \mathcal{F}_s\text{-PR}$.

W. Huang, K. K. Park, and X. Ye, *Topological disjointness from entropy zero systems*, Bull. Soc. Math. France **135** (2007), no. 2, 259–282.

- 2 If x is $\mathcal{F}_{ps}\text{-PR}_0$ then it is a **minimal point**.
 - Hence we have an example $\mathcal{F}_s\text{-PR} \not\rightleftharpoons \mathcal{F}_{ps}\text{-PR}_0$.

P. Dong, S. Shao, and X. Ye, *Product recurrent properties, disjointness and weak disjointness*, Israel J. Math. **188** (2010), 463–507.



Further results on product recurrence (cont.)

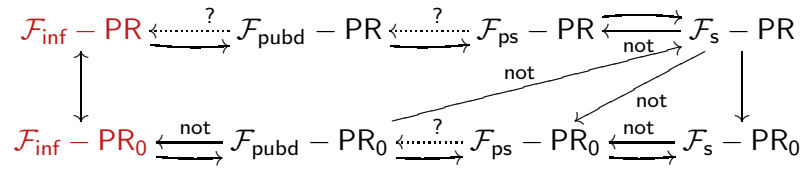


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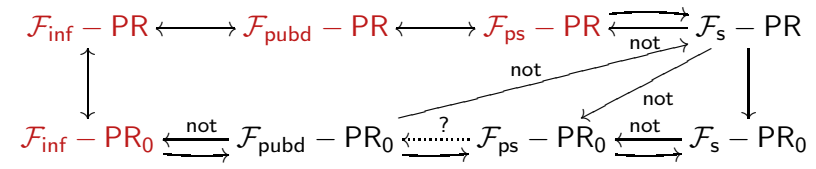


Figure: Product recurrence and product recurrence with zero entropy systems (Dong, Shao & Ye) + work of Oprocha and G.H. Zhang

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- \mathcal{F}_{pubd} = sets with positive upper Banach density

Results with Guo Hua Zhang

Theorem

If x is \mathcal{F}_{ps} -PR then it is distal.

Theorem

The following statements are equivalent:

- 1 x is distal,
- 2 (x, y) is recurrent for any recurrent point y of any system (Y, g) ,
- 3 (x, y) is \mathcal{F}_{pubd} -recurrent for any \mathcal{F}_{pubd} -recurrent point y of any system (Y, g) ,
- 4 (x, y) is \mathcal{F}_{ps} -recurrent for any \mathcal{F}_{ps} -recurrent point y of any system (Y, g) ,
- 5 (x, y) is minimal for any minimal point y of any system (Y, g) .

Open problems

- 1 $\mathcal{F}_{ps} - PR_0 \implies \mathcal{F}_{pubd} - PR_0$?
- 2 $\mathcal{F}_s - PR + \text{minimal} \implies \text{distal}$?